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Assessment Details

ID 39313

Name Robert Rzepus_TPRM Pre-assessment (Engagement)_13/02/2024

Organization TPRM

Description

Reviewer

Respondent Robert Rzepus

Template TPRM Pre-assessment (Engagement)

Workflows Default Assessment Workflow

Creator Robert Rzepus

Date Created 13/02/2024 03:42 PM

Deadline

Completed Date 13/02/2024 04:24 PM

Date Submitted 13/02/2024 04:24 PM

Last Updated 13/02/2024 04:24 PM

Stage Completed

Approval Stage

Status Active

Do Not Use 0

High Risks 0

Medium Risks 0

Low Risks 0

Total Risks 0

Residual Risk Level None

Residual Risk Score 0.0

Result Auto-Approved

Result Comments

　Under Review

Primary Record Id

Primary Record Name (9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown) OEEsystems International

Template Version 4

Open Risk Count 0

Open Info Request 0

Tags

Submission Progress (%) 100

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Assessment Questions

(1) Tell us about your third party

1.1   Please select a vendor record from the dropdown or provide the full legal entity (or registered) name of the Third Party in the local language.

Response

> 9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM |
> Unknown

Justification

None

1.2   Please enter the name of the engagement with the Third Party

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

> OEEsystems International

1.3   If applicable, please provide the full legal (or registered) name of the Third Party in the Latin alphabet

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

> *Response*
>
> OEEsystems International Limited
>
> *Justification*
>
> None

1.4   Does the Third Party appear on the Haleon Prohibited Third Party List?
Please review and access list here: **Prohibited Parties List**

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

> *Response*
>
> No
>
> *Justification*
>
> None

1.5   Please select the Third Party Type (Engagement Type)

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

> OEEsystems International
>
> > *Response*
> >
> > Technology
> > Providers
> >
> > *Justification*
> >
> > None

1.6 **Please enter the Third Party's registered address**

Please include full address where the Third Party's registered, including: Street, number, complement, city, postal (zip) code or any other relevant information available as the building name of the Third Party, if applicable.
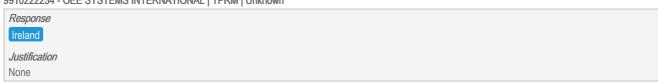
Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

Response

Gurtnafleur Business Park
Clonmel, Co. Tipperary, E91 F9N7
Ireland

Justification

None

1.7 **Please enter the Country the Third Party is registered in**

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

Response

Ireland

Justification

None

1.8 **Please select all the countries where, under the scope of this assignment:**

- *The Third Party is registered*
- *The Third Party will perform any services for Haleon*
- *The Third Party will source goods, services, materials for Haleon*
- *Haleon will proceed with any payment*

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

OEEsystems International

Response

Pakistan Ireland United States Switzerland Malaysia Indonesia Panama Taiwan Mexico Slovakia China Argentina United Kingdom

Sri Lanka Kenya Brazil Italy Spain South Africa

Justification

None

1.9 **Is the Third Party being engaged by Haleon for business activities involving any of the following markets: Iran, Cuba, Syria, Democratic People's Republic of Korea (North Korea), the region of Crimea/Sevastopol/Donetsk/Luhansk?**

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

OEEsystems International

Response

No

Justification

None

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1.10 Please inform who is your Third Party point of contact?
Please include the full name, email, and phone number (with country code).

Response

> 9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
>
> > OEEsystems International
> >
> > > *Response*
> > >
> > > Aaron Kelly Aaron.Kelly@oeesystems.com Intl: +353 52 618 1900, UK: +44 1279 881 999, US: +1 848 209 0001
> > >
> > > *Justification*
> > > None

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(2) **Tell us more about your engagement**

2.1 Is the third party doing or supporting any of the following Anti-Bribery & Anti-Corruption high risk activities?

- *Interacting with Government officials / agencies*
- *Acting as a Distributor*
- *Directly marketing or independently promoting Haleon products*
- *Providing complementary worker services (if not managed via Fieldglass)*
- *Receiving a grant, a donation or a sponsorship*
- *Paying others on Haleon's behalf*

Response

> 9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
>
> > OEEsystems International
> >
> > > *Response*
> > >
> > > No
> > >
> > > *Justification*
> > > None

2.2 Is the third party doing or supporting any of the following Data Protection high risk activities?
> Generating, storing or sharing Haleon Critical and Sensitive Information (CSI) or Personal Information
> Providing equipment or services: (i) classified as Operational Technology (OT); (ii) any bespoke or customized software or any software development; or (iii) any services to Haleon that involve storage or processing of Haleon Data at a non-Haleon facility, including the provision of cloud or hosting services.

Response

> 9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
>
> > OEEsystems International
> >
> > > *Response*
> > >
> > > Yes
> > >
> > > *Justification*
> > > None

2.3 Is the third party doing or supporting any of the following Human Safety Information high risk activities?
Receiving adverse events about Haleon products or reporting adverse events in relation to patient safety

Response

> 9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
>
> > OEEsystems International
> >
> > > *Response*
> > >
> > > No
> > >
> > > *Justification*
> > > None

2.4 What is the estimated spend for this engagement over the next 12 months?

Please consider all types of transfer of value (including payments, reimbursements, etc.) to estimate the spend for the engagement over the next 12 months. If the engagement is expected to run longer than 12 months, please estimate the spend over the next 12 months only and use this figure when completing the risk assessment.

*For distributors (non vendors), the ToV is the sum of all rebates and discounts in 12 months, usually this is obtained from the G2N

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
OEEsystems International
Response
£250,000 - £500,000
Justification
None

2.5 Please select the Category Code (level 2)

Using the descriptions below type in and then select the most relevant code(s) for the services that best describe the category for your engagement.

Corporate Services
Directs and Sites
External Supply
Facilities, Capital and Logistics
HCP/HCO
Marketing & Sales
Non Vendor
R&D

Refer to the Procurement website for additional information on category codes: Commodity Codes - Home (sharepoint.com)

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
OEEsystems International
Response
Corporate Services_Tech_TESW_Tech Software_Indirect
Justification
None

2.6 Is the third party providing any of the following for R&D or Manufacturing?

- *Supply, use or euthanasia of any animals*
- *Good or services subject to Good Clinical, Laboratory or Manufacturing Practice*
- *Use or supply of hazardous substances*
- *Use or supply of tracked or warranted human biological samples (Note: use of restricted HBS is prohibited in Haleon)*
- *Services involving the generation of data sets or manipulation of existing data*
- *Providing, obtaining or using non-human genetic resource (or a derivative) or its associated traditional knowledge for any research and development purpose*

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
OEEsystems International
Response
No
Justification
None

(3) **Tell us more about you**

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3.1 **Are you the Business Owner or are you submitting this request on behalf of the Business Owner?**

Business Owner (BO) is the person who needs to engage with a third party and owns the relationship with the third party. The BO is responsible to know the third party, manage and monitor its performance and risks, update its information in our records, and report/ escalate any red flag identified at any time of the engagement.

In case of red lane, the Business Owner will be notified to complete the next step which is the risk assessment.

Response

(Request is on behalf of the Business Owner)

Justification

None

3.2 **Please enter the Business Owner's name**

If the name of the Business Owner is not suggested, please enter their email address. You must use "@haleon.com" following separation guidelines. Please confirm you have the right email before submitting.

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
OEEsystems International
Response
Lionel Richard | lionel.x.richard@haleon.com
Justification
None

3.3 **Please enter your name**

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
OEEsystems International
Response
Robert Rzepus | robert.p.rzepus@haleon.com
Justification
None

3.4 **Please select the Business Owner's organization**

Following the Haleon Executive Team organization, what is the Business Unit or Function which will be the primary owner of the engagement under this assessment?

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown
OEEsystems International
Response
Quality and Supply Chain
Justification
None

3.5 **What is your Preferred Language for receiving tasks?**

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

OEEsystems International

Response
English

Justification
None

3.6 **Do you suspect a Conflict of Interest or Third Party reputational issue?**

Remember that by speaking up and raising concerns, you are doing the right thing.

Please also report your concerns directly to your line manager or a member of your local management team. If you feel uncomfortable speaking up to your line manager or local management team, you may report your concerns to Ethics & Compliance.

You can also use the Speak Up channels via telephone, web portal, email or mail. These channels are managed independently of Haleon and are available globally in multiple languages.

For more information about our Speak Up channels:
https://haleon.sharepoint.com/:u:/r/sites/LEGAL_COMPLIANCE/SitePages/SpeakUp.aspx?csf=1&web=1&e=b4lNLM

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

OEEsystems International

Response
No

Justification
None

3.7 **I hereby confirm all responses are accurate to the best of my knowledge**

Upon submitting this assessment you can track the status of the Third Party by going to the inventory tab found here (https://haleon.my.onetrust.com/vendor/inventory/vendors?module=vendor) and searching for the Third Party name.

Response

9910222234 - OEE SYSTEMS INTERNATIONAL | TPRM | Unknown

Response
Yes

Justification
None

―――――――Assessment Notes――――――――――――――